

WIPFLI

Wipfli's Compliance Corner Newsletter



IN APRIL'S EDITION:

Hot topics:

- [Agencies Request Comment on Proposals to Modernize Regulatory Capital Framework](#)
- [FinCEN Expands Southwest Border GTO](#)
- [NCUA Proposes Rule on Third-Party Servicing of Indirect Vehicle Loans](#)
- [NCUA Provides Update on Deregulation Proposals](#)
- [OCC Rescinds its FHHLDS Regulation](#)
- [White House Issues Order Promoting Access to Mortgage Credit](#)

Compliance calendar reminders (for current and following month):

- 4/1 – Personal Financial Data Rights deadline
- 4/14 – ComplianceHelp live webinar
- 4/22 – COPPA deadline
- 4/30 – HMDA LAR quarterly update

- 4/30 – Compliance & BSA roundtable
- 5/30 – HMDA LAR quarterly submission

FinCEN makes beneficial ownership rules change

FinCEN issued exceptive relief allowing financial institutions to avoid re-verifying beneficial ownership with every new account. Learn more about why financial institutions must still rely on risk-based monitoring and update procedures accordingly.

[WHAT ARE THE NEW BENEFICIAL OWNERSHIP RULES?](#)

2026 BSA workshops

Need a refresher on the responsibilities of Bank Secrecy Act (BSA) officers and backup officers? Curious about emerging issues and recent enforcement actions?

Wipfli is hosting two full-day workshops designed to help financial institutions stay current on these critical compliance roles.

These sessions are designed for BSA officers, backup BSA officers, compliance officers and internal auditors working in financial institutions. These workshops may be eligible for self-reported CRCM and other certification credits.

Workshop participants will receive an updated copy of Wipfli's AML/CFT policy, which includes a comprehensive cannabis (hemp and marijuana) policy.

Register for one session – or both and receive a \$100 discount.

[LEARN MORE ABOUT BANK SECRECY ACT COMPLIANCE](#)

PERSPECTIVE CHANGES EVERYTHING.

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Wipfli | 10000 W. Innovation Drive, Suite 250 Milwaukee, WI 53226

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