

Compliance Corner Newsletter November



Hot topics

- [CFPB highlights service member student loan challenges](#)
- [CFPB issues new advisory opinion for Reg F Collection of medical debt](#)
- [FDIC extends compliance date for Subpart A of FDIC signage and advertising rule](#)
- [Interagency statement regarding institutions affected by Hurricane Milton](#)
- [Supervisory highlights: Auto finance special edition](#)

Monthly reminders

- November 1, 2024 — Annual renewal period opens for NMLS Registry of MLOs
 - November 29, 2024 — HMDA LAR quarterly submission (large filers)
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Simplify your compliance challenges

Wipfli's ComplianceHelp dashboard streamlines compliance for financial institutions. It offers resources, access to compliance specialists and tools — all in one place. Teams can get answers to compliance questions, review advertisements, provide staff training and access regulatory information. Explore all our subscription options by visiting our site.

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Thought leadership

How to proactively manage UDAAP risks

Unfair, deceptive or abusive acts or practices (UDAAP) can be one of the riskiest compliance areas for financial institutions, and many don't have a program in place to mitigate these risks. But like many other areas for these organizations, UDAAP risks can be effectively managed. Learn how you can get proactive and keep up with regulatory compliance.

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Events

IT Leadership Roundtable

Join Wipfli IT professionals for a morning of education. Learn about the latest IT trends and hot topics in the financial institutions industry.

November agenda:

- 9:30-10:10 a.m. CT — IT exam hot topics: CAT retirement, updated “Development, Acquisition and Maintenance” booklet and more — Joshua Dallas, Pedro Pinto and Joel Lego
- 10:10-10:15 a.m. CT — Wipfli service spotlight: Wipfli's CRM practice for financial services with Robin Early
- 10:15-11 a.m. CT — Q&A discussion: Joshua Dallas, Pedro Pinto, Joel Lego and Robin Early

Join us virtually from 9:30-11 a.m. CT on November 20.

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FASB accounting and audit updates for financial institutions

What’s new from the Financial Accounting Standards Board (FASB)? Find out during a free webinar on November 12. Our CPAs will discuss the latest FASB updates, including new and newly effective accounting standards. We’ll also review existing standards that may have new impacts on financial institutions.

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Tax updates for financial institutions

Financial institutions need to prepare for impending tax changes from the U.S. presidential election and the 2026 expiration of Tax Cuts and Jobs Act provisions. Our webinar on December 10 will explain how.

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PERSPECTIVE CHANGES EVERYTHING.

WIPFLI

Wipfli LLP, 10000 W. Innovation Drive, Suite 250 Milwaukee, WI 53226

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