

Wipfli's Compliance Corner Newsletter



October 2026

Hot topics

- [Agencies issue proposed third-party risk management guidance](#)
 - [CFPB issues notice on information collection of certain credit card plans and agreements](#)
 - [CISA issues alert on Citrix vulnerabilities](#)
 - [FEMA reports Congressional reauthorization of the NFIP](#)
 - [FDIC issues notice of proposed rulemaking related to evaluating merger transactions](#)
 - [FinCEN convenes institutions to advance Operation Economic Outcast](#)
 - [FinCEN issues FAQs regarding digital credentials under the CIP rule](#)
 - [OCC comptroller Gould discusses military banking](#)
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Compliance calendar reminders (current and following month reminders):

- 10/13 – ComplianceHelp live quarterly webinar
- 10/21 – Financial data transparency joint standards June 2026 rule effective
- 10/29 – Compliance and BSA Roundtable quarterly meeting
- 10/30 – HMDA LAR quarterly update
- 11/1 – Annual NMLS renewal period opens
- 11/29 – HMDA LAR quarterly submission (large filers)

Thought leadership

FinCEN's CTA rollback does not change financial institutions' beneficial ownership requirements under the CDD rule

FinCEN recently rolled back certain CTA requirements – but this policy shift doesn't eliminate your CDD obligations. Learn what's changed and what hasn't.

[GET THE RUNDOWN ON NEW CTA RULES](#)

[READ MORE WIPFLI ARTICLES](#)

TIP OF THE MONTH

Year-end will be here before we know it, so plan for risk assessment updates. Inventory your risk assessments and begin planning for updates.

Events

Webinar: Balancing risk, resilience and growth

Many organizations invest significant time and resources into AI, cybersecurity, technology modernization and operational resilience. Yet these efforts are often siloed, making it difficult for leadership teams to understand how risk in any one individual area is affecting performance, growth and organizational resilience for the business as a whole.

This webinar will cover how to solve that by implementing a cohesive enterprise risk strategy. Join us on October 22 from 1:00 p.m. to 2:00 p.m. CT.

[LEARN TO BALANCE RISK, RESILIENCE AND GROWTH](#)

Simplify your compliance challenges

Regulatory compliance is complex and time-consuming, but it doesn't need to be. Wipfli's ComplianceHelp subscription is designed to assist your financial institution with routine regulatory compliance questions.

[MAKE YOUR COMPLIANCE WORK SIMPLER](#)

PERSPECTIVE CHANGES EVERYTHING.

WIPFLI

Wipfli | 10000 W. Innovation Drive, Suite 250 Milwaukee, WI 53226

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