Wipfli's Compliance Corner Newsletter

August 2026

Hot topics

- Agencies issue statement on handling sensitive information during exams
- Agencies issue financial data transparency standards
- CFPB releases 2026 Regulatory Plan and Unified Agenda information
- Federal Reserve issues updated payments study information
- FinCEN issues alert on federal student aid fraud schemes
- OFAC updates its regulations

Compliance calendar reminders (current and following month reminders):

- 8/29: HMDA LAR quarter submission (large filers)
- 9/30: OFAC annual report of blocked property due
- 9/30: Sunset of NFIP if not reauthorized by Congress

Thought leadership

Strengthen your Regulation E compliance

Regulation E error resolution remains a confusing process, with misconceptions surrounding the 60-day rule, unauthorized EFT liability and investigation requirements. Here is some practical guidance to help your institution strengthen investigations, reduce compliance risk and avoid common examination findings.

LEARN HOW TO IMPROVE REGULATION E PROCESSES

Beginner's guide to the TCPA

The Telephone Consumer Protection Act regulates how businesses use calls, text messages and automated dialing systems to limit unwanted outreach and protect consumer privacy. Compliance with the TCPA is critical for financial institutions that engage with consumers by phone or text. Here is a guide to help develop a TCPA compliance program.

READ THE TCPA GUIDE

How to handle compliance with customer or client complaints

Financial institutions, insurance companies and investment firms are all subject to federal and state regulations and standards that require them to handle complaints fairly, effectively and transparently. Keep reading for a breakdown of key regulatory expectations and recommendations to better handle customer, member or client complaints.

LEARN BEST PRACTICES FOR HANDLING COMPLAINTS

READ MORE WIPFLI ARTICLES

AUGUST TIP OF THE MONTH

Training status check — it's August already!

What is the status of the annual compliance training plan calendar? It may be time to send some reminders to ensure everyone completes their training by the set deadlines.

On-demand webinar

Modernize indirect lending: CRM strategies for dealer management and onboarding

Financial institutions are rethinking how they manage indirect lending programs by moving away from manual processes and disconnected systems that limit visibility and create friction.

In this webinar, Wipfli and Creatio Financial Services demonstrate how CRM-based accelerators can help centralize dealer management, automate onboarding and convert indirect borrowers into long-term customers.

WATCH THE RECORDING NOW

Simplify your compliance challenges

Regulatory compliance is complex and time-consuming, but it doesn't need to be. Wipfli's ComplianceHelp subscription is designed to assist your financial institution with routine regulatory compliance questions.

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